

Docket No.: OTOM.014A

JAN 02 2007

January 2, 2007
Page 1 of 2Please Direct All Correspondence to Customer Number 20995**REQUEST FOR CONTINUED EXAMINATION**

Applicant : Malackowski et al.
App. No : 09/814,547
Filed : March 22, 2001
For : SYSTEM FOR AND METHOD OF RISK
MINIMIZATION AND ENHANCED
RETURNS IN AN INTELLECTUAL
CAPITAL BASED VENTURE
INVESTMENT
Examiner : DANIEL KESACK
Art Unit : 3624

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Thomas Y. Yee, Reg. No. 57,013

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Request for Continued Examination (RCE) is being made as follows:

1. Submission Required under 37 CFR 1.114:

(X) Previously submitted:

(X) Consider the Amendment/Response under 37 C.F.R. § 1.116 previously filed on
September 27, 2006.

01/08/2007 EFLDRES 00000004 111410 09814547

01 FC:2801 395.00 DA

01/08/2007 EFLDRES 00000004 111410 09814547

02 FC:2251 60.00 DA

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2. Fees:

The present application qualifies for Small Entity Status under 37 CFR 1.27.

FEE CALCULATION				
FEE TYPE		FEE CODE	CALCULATION	TOTAL
RCE Fee		2801 (\$395)		\$395
Suspension of Action		1463 (\$130)		\$*
Total Claims	* - * = *	2202 (\$25)	* x 25 =	\$*
Independent Claims	* - * = *	2201 (\$100)	* x 100 =	\$*
Multiple Claim		2203 (\$180)		\$*
1 Month Extension		2251 (\$60)		\$60
2 Month Extension		2252 (\$225)		\$*
3 Month Extension		2253 (\$510)		\$*
			TOTAL FEE DUE	\$455

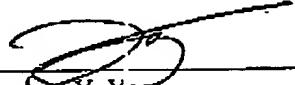
(X) An extension of time is hereby requested by payment of the appropriate fee indicated above.

3. Payment:

(X) The Commissioner is hereby authorized to charge the above-itemized fees, including any fees for additional extension of time, to Deposit Account Number 11-1410.

Respectfully submitted,
KNOBBE MARTENS OLSON & BEAR LLP

Dated: January 2, 2007


Thomas Y. Yee
Registration No. 57,013
Attorney of Record
Customer No. 20,995
(949) 760-0404

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Intellectual Property Law

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TO: Commissioner for Patents / RCE
USPTO FACSIMILE NO.: (571) 273-8303
USPTO REFERENCE: Applicant: Malackowski, et al
Serial No.: 09/814,547
Filed: March 22, 2001
Title: SYSTEM FOR AND METHOD OF RISK
MINIMIZATION AND ENHANCED RETURNS IN
AN INTELLECTUAL CAPITAL BASED VENTURE
INVESTMENT
ATTORNEY: Thomas Y. Yee, Esq.
PHONE NO.: (949) 760-0404
ATTORNEY DOCKET NO.: OTOM.014A
TOTAL PAGES: 3 (INCLUDING COVER SHEET)
DOCKETING AGENT: Renee Farris, IP Assistant
DATE: January 2, 2007

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MESSAGE: **Attached for filing in the above-referenced application are:****REQUEST FOR CONTINUED EXAMINATION**3260100 / rf
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